



Pharmaceuticals Limited

Registered & Corporate Office :

Plot No. 72, H. No. 8-2-334/3 & 4, Road No. 5,
Opp. SBI Executive Enclave, Banjara Hills,
Hyderabad - 500 034, Telangana, INDIA.
Tel : +91-40-2525 9999, Fax : +91-40-2525 9889
CIN : L24239TG1987PLC008066
Email: info@smspharma.com, www.smspharma.com

Date: 11th July, 2024

To
The Manager,
Corporate Filings Department,
BSE Limited,
Phiroze Jeejeebhoy Towers,
Dalal Street,
Mumbai- 400 001.

The Manager,
Listing Compliance Department,
National Stock Exchange of India Ltd.
Exchange Plaza, Plot no. C/1, G Block,
Bandra-Kurla Complex, Bandra (E),
Mumbai - 400 051.

Security Code: 532815

Symbol: SMSPHARMA

Dear Sir/Madam,

Sub: Reminder Letter/email to shareholders for claiming unclaimed dividends and Newspaper Advertisement for transfer of Shares to Investor Education and Protection Fund (IEPF)

Pursuant to the provisions of Regulation 30 of SEBI (Listing Obligations and Disclosure Requirements), Regulations, 2015, we hereby enclosed the following documents:

1. Copy of reminder letter dated 10th July, 2024 sent to shareholders who have not claimed their dividends for seven or more consecutive years and whose shares are liable for transfer to the IEPF Authority. A copy of the reminder letter is enclosed herewith.
2. Copies of the newspaper advertisement published on 11th July, 2024 in the Financial Express (in English language) and Nava Telangana (in Telugu language) newspapers informing the shareholders about transfer of shares to Investor Education Protection Fund (IEPF) pertaining to the unpaid/ unclaimed dividend for the financial year 2016-2017. Copies of newspaper advertisement are enclosed herewith.

The newspaper advertisements is also made available on the website of the Company www.smspharma.com at Investors Section.

Please take the above intimation on your records.

Thanking you

Yours faithfully

For SMS Pharmaceuticals Limited

**Thirumalesh Tumma
Company Secretary**

Encl: a/a

SMS PHARMACEUTICALS LIMITED

PLOT NO.72 H.NO.8-2-334/ 3 & 4, ROAD NO.5
OPP. SBI EXECUTIVE ENCLAVE, BANJARA HILLS, HYDERABAD-500034
<http://www.smspharma.com> email:complianceofficer@smspharma.com
CIN No: L24239TG1987PLC008066

REF NO.SMS/IEPF/2024.....

Date: 10/07/2024

NAME OF THE SHAREHOLDER.....

Add:....

Dear Shareholder(s)

Sub.: Compulsory transfer of Equity Shares of the Company to the Investor Education and Protection Fund (IEPF) Suspense Account

REF.: FOLIO/DPID/CLIENT ID/BOID:

As you are aware, dividend declared by the Company is remitted either electronically or by sending dividend warrants to the registered address of the eligible shareholders. In case of any unpaid/unclaimed dividend, we have sent reminders periodically to such shareholders for claiming by them.

As per Section 124(5) of the Companies Act, 2013, all unpaid or unclaimed dividends after the period of seven years are required to be transferred by the Company to the Investor Education and Protection Fund (IEPF) established by the Central Government. We regularly upload on our website as also on the website of the Ministry of Corporate Affairs, Government of India, full details of such unpaid or unclaimed dividends before transferring to IEPF.

Further, Section 124(6) requires that all shares in respect of which unpaid or unclaimed dividend has been transferred to IEPF, shall also be transferred to IEPF.

Ministry of Corporate Affairs has notified Investor Education and Protection Fund Authority (Accounting, Audit, Transfer and Refund) Amendment Rules, 2017 which have come into force from October 13, 2017. The said Rules, amongst other matters, contain provisions for transfer of all shares in respect of which dividend has not been paid or claimed for seven consecutive years or more, in the name of IEPF Suspense Account.

As per our records, the following dividends which were paid / remitted to you in the last seven years (with reference to dividend for the year 2016-17 onwards) remain unpaid / unclaimed:

Div.Year	Warrant No	Amount
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2016-2017		
2017-2018		
2018-2019		
2019-2020 INTERIM		
2020-2021		
2021-2022		
2022-2023		

Note -Unpaid Dividends prior to financial year 2016-17 have already been transferred to IEPF or Central Government, as per erstwhile IEPF Rules.

We request you to claim the above dividends due to you by making an application immediately in the attached form and send it to Aarthi Consultants Pvt.Ltd. Registrar and Transfer Agents of the Company on or before 10th October 2024. In case if you fail to claim the above dividend, all your shares (whether held in physical or electronic form) will be transferred by the Company to IEPF Suspense Account. Kindly note that dividend for Financial Year 2015-16 has already been transferred to IEPF.

As per the above mentioned rules shares held in physical form are liable to be transferred to IEPF Suspense Account, by issuing duplicate share certificates and upon issue of such duplicate share certificates, the original share certificate(s) which stand registered in your name will be deemed cancelled and non-negotiable.

In case shares are held in demat form and are liable to be transferred to IEPF Suspense Account, the Company will execute Corporate Action with Depository for transfer of shares to IEPF.

However, you can claim from IEPF Authority both unclaimed dividend amount and the shares transferred to IEPF Suspense Account by making an application in Form IEPF-5 online and sending the physical copy of the same duly signed (as per registered specimen signature) along with requisite documents enumerated in the said Form IEPF-5 to the Company at its registered office or to Aarthi Consultants Pvt. Ltd., Registrar and Transfer Agents of the Company for verification of your claim. We shall send a verification report to IEPF Authority for refund of the unclaimed dividend amount and transfer of the shares back to the credit of the shareholder. As per the above mentioned rules, only one such request can be made in one year.

In case we do not hear anything from you on this intimation letter by 10th October 2024 we shall, with a view to complying with the requirements of the said Rules, transfer the shares to IEPF Suspense Account by the due date as per procedure stipulated in the Rules, without any further notice. Please note that no claim shall lie against the Company in respect of unclaimed dividend amount and shares transferred to IEPF Authority pursuant to the said Rules.

Please feel free to contact the Company / Aarthi Consultants Pvt. Ltd. in case you have any queries at their following address / email / telephone number:

Name and address of Registrar	Name and address of Company
M/s Aarthi Consultants Pvt Ltd Unit:SMS PHARMACEUTICALS LIMITED 1-2-285, Domalguda, Street No.7, Hyderabad 500 029 (TS) Phone: 040-27638111, 27634445 Email:info@arthiconsultants.com	SMS PHARMACEUTICALS LIMITED PLOT NO.72 H.NO.8-2-334/ 3 & 4, ROAD NO.5 OPP. SBI EXECUTIVE ENCLAVE BANJARA HILLS, HYDERABAD 500034 040 – 35359999 complianceofficer@smspharma.com

Thanking You
Yours faithfully,

For SMS PHARMACEUTICALS LIMITED

Sd/
THIRUMALESH TUMMA
COMPANY SECRETARY

**LETTER OF UNDERTAKING
FOR ISSUE OF DUPLICATE DIVIDEND WARRANT(S)**

To:
Aarthi Consultants Pvt. Ltd.,
Unit:SMS PHARMACEUTICALS LIMITED
1-2-285, Domalguda,
Street No.7,
Hyderabad - 500 029

REF: FOLIO/DPID/CLIENT ID & Warrant No :

I/We hereby confirm that I/we have not encashed, negotiated or otherwise dealt in respect of the following dividend paid by the Company in the last seven years, so as to create any adverse claim upon the amount of the dividend warrant(s).

The following are the details of the unpaid / unclaimed dividends during last seven years:

Div.Year	Warrant No	Amount
2016-2017		
2017-2018		
2018-2019		
2019-2020 INTERIM		
2020-2021		
2021-2022		
2022-2023		

In consideration of your issuing to me/us all Demand Draft(s)/Pay Order(s) in lieu of the Dividend Warrant(s) lying unclaimed/unpaid in my/our name(s) and which are irretrievable/ lost or misplaced, or crediting the amounts directly to my bank account as mentioned below, I/we hereby agree and undertake to hold you harmless and to keep you protected from/against all losses, costs or damages which you may sustain or incur by reason of your issuing Demand Draft(s)/ Pay Order(s) or by the original Dividend Warrant(s) being, at any time, found and presented for payment by any person or persons claiming to be the holder(s) of the Dividend Warrant(s) or in any way interested therein.

I/We further agree and undertake to return to you the original Dividend Warrants, should it be found by me/us or again come into my/our possession at any time hereafter.

Name	Signature
First Holder :	
Second holder:	
Third holder :	
Address of first holder:	

Bank Account Details : (for electronic credit of unpaid dividends and all future dividends)
Name of the Bank :
Name of the Branch:

Account Number (as appearing in your cheque book):

Account Type (Saving / Current / Cash Credit) :

9 Digit MICR Number (as appearing on the MICR) :

cheque issued by the bank) Please enclose a photocopy of a cheque for verification 11 Digit IFSC Code :

Witness1:

Name :

Address:

Witness2:

Name:

Address:

Signature:

Signature:

Dated:

Note: For the undertaking to be considered complete, please ensure the name, address and bank details of first holder and joint holder(s), as applicable, are filled correctly and it is duly signed by all the holders and two witnesses.

Note: Please note that if the total dividend amount is in excess of Rs.500/- then the indemnity shall be executed on Rs.20/- Non-Judicial Stamp Paper.

